

(19) World Intellectual Property
Organization
International Bureau



(43) International Publication Date
17 June 2004 (17.06.2004)

PCT

(10) International Publication Number
WO 2004/051705 A2

- (51) International Patent Classification⁷: **H01L**
- (21) International Application Number:
PCT/US2003/038040
- (22) International Filing Date: 2 December 2003 (02.12.2003)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:
60/430,230 2 December 2002 (02.12.2002) US
- (71) Applicant: **3M INNOVATIVE PROPERTIES COMPANY** [US/US]; 3M Center, Post Office Box 33427, Saint Paul, MN 55133-3427 (US).
- (72) Inventors: **HENSON, Gordon, D.**; Post Office Box 33427, Saint Paul, MN 55133-3427 (US). **DEBAUN, Barbara, A.**; Post Office Box 33427, Saint Paul, MN 55133-3427 (US). **MEIS, Michael, A.**; Post Office Box 33427, Saint Paul, MN 55133-3427 (US). **SCHULTZ, John, C.**; Post Office Box 33427, Saint Paul, MN 55133-3427 (US). **SIMBAL, John, J.**; Post Office Box 33427, Saint Paul, MN 55133-3427 (US). **DAVIS, Ronald,**

D.; Post Office Box 33427, Saint Paul, MN 55133-3427 (US).

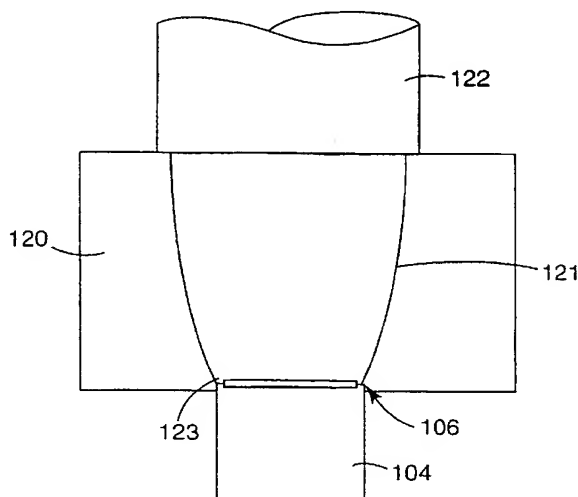
(74) Agents: **BLACK, Bruce, E.** et al.; Office of Intellectual Property Counsel, Post Office Box 33427, Saint Paul, MN 55133-3427 (US).

(81) Designated States (*national*): AE, AG, AL, AM, AT (utility model), AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ (utility model), CZ, DE (utility model), DE, DK (utility model), DK, DM, DZ, EC, EE (utility model), EE, EG, ES, FI (utility model), FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK (utility model), SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VC, VN, YU, ZA, ZM, ZW.

(84) Designated States (*regional*): ARIPO patent (BW, GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE,

[Continued on next page]

(54) Title: ILLUMINATION SYSTEM USING A PLURALITY OF LIGHT SOURCES



(57) Abstract: An illumination system (100) includes a plurality of LED dies (104). A corresponding plurality of optical waveguides (122) is also provided, with each waveguide having a first and second end, with each first end being in optical communication with the corresponding LED die. An array of corresponding passive optical elements (120) is interposed between the plurality of LED dies and the corresponding first ends of the plurality of optical waveguides. The illumination system provides for substantially high light coupling efficiency and an incoherent light output that can appear to the human observer as arising from a single point of light. In addition, the light can be output at one or more locations and in one or more directions.



SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

Published:

- *without international search report and to be republished upon receipt of that report*

ILLUMINATION SYSTEM USING A PLURALITY OF LIGHT SOURCES

BACKGROUND OF THE INVENTION

Field of the Invention

The present invention relates to a lighting or illumination assembly and
5 system. More particularly, the present invention relates to a high coupling
efficiency illumination system including a plurality of light sources.

Background Art

Illumination systems are used in a variety of applications. Home, medical,
dental, and industrial applications often require light to be made available.
10 Similarly, aircraft, marine, and automotive applications require high-intensity
illumination beams.

Traditional lighting systems have used electrically powered filament or arc
lamps, which sometimes include focusing lenses and/or reflective surfaces to direct
the produced illumination into a beam. However, in certain applications, such as
15 in swimming pool lighting, the final light output may be required to be placed in
environments in which electrical contacts are undesirable. In other applications,
such as automobile headlights, there exists a desire to move the light source from
exposed, damage-prone positions to more secure locations. Additionally, in yet
other applications, limitations in physical space, accessibility, or design
20 considerations may require that the light source be placed in a location different
from where the final illumination is required.

In response to some of these needs, illumination systems have been
developed using optical waveguides to guide the light from a light source to a
desired illumination point. One current approach is to use either a bright single
25 light source or a cluster of light sources grouped closely together to form a single
illumination source. The light emitted by such a source is directed with the aid of
focusing optics into a single optical waveguide, such as a large core plastic optical
fiber, that transmits the light to a location that is remote from the source/sources.
In yet another approach, the single fiber may be replaced by a bundle of individual
30 optical fibers.

The present methods are very inefficient with approximately 70% loss of the light generated in some cases. In multiple fiber systems, these losses may be due to the dark interstitial spaces between fibers in a bundle and the efficiencies of directing the light into the fiber bundle. In single fiber systems, a single fiber
5 having a large enough diameter to capture the amount of light needed for bright lighting applications becomes too thick and loses the flexibility to be routed and bent in small radii.

Some light generating systems have used lasers as sources, to take advantage of their coherent light output and/or low divergence angle. However,
10 laser sources typically produce a single wavelength output color whereas an illumination system typically requires a more broadband white light source. For example, U.S. Patent 5,299,222 discusses the use of single wavelength high-power laser diodes to couple energy into a wavelength sensitive gain medium, as opposed to use as an illumination source. The use of the specified laser diodes, with their
15 asymmetrical beam shape, requires the extensive use of optical beam shaping elements in order to achieve more efficient coupling into the optical fibers. Also, some laser diodes are expensive to utilize since they require stringent temperature control (e.g., the need for using thermoelectric coolers, and the like) due to the heat they generate in operation. In addition, a concentrated array of packaged LEDs can
20 lead to problems in the area of thermal management.

The need remains for a lighting system that can deliver high-intensity illumination using a light source.

SUMMARY OF THE INVENTION

The present invention relates to a lighting or illumination assembly. More
25 particularly, the present invention relates to a high coupling efficiency illumination system including a plurality of light sources that can be arranged remotely from the illumination output.

A lighting or illumination system, referred to herein as an illumination device, in accordance with the present invention comprises a plurality of LED dies,
30 a corresponding plurality of optical waveguides, each having first and second ends, each first end being in optical communication with the corresponding LED die, and

an array of corresponding optical elements interposed between the plurality of LED dies and the corresponding first ends of the plurality of optical waveguides.

In exemplary embodiments, the light sources are individual LED dies or chips, or laser diodes. The waveguides may include optical fibers, such as polymer
5 clad silica fibers. The first ends of the plurality of optical waveguides receive the light emitted from the light sources. The second ends of the plurality of optical waveguides may be bundled or arrayed to form a single light illumination source when illuminated.

The optical elements may include passive optical elements, such as an array
10 of input light-directing or concentrating elements, wherein each waveguide first end is in optical communication with at least one light directing/concentrating element and wherein the array of light directing/concentrating elements is in optical communication with and interposed between the LED dies and the first ends of the plurality of optical waveguides.

15 In an exemplary embodiment, the array of optical elements comprises an array of reflectors. These reflectors can be shaped to preserve or maintain the small étendue of the LED die light source and to substantially match this étendue to the étendue (which is proportional to the product of the core area and acceptance angle) of the light receiving fiber. The array of reflectors can be formed in a
20 substrate, such as a multilayer optical film (MOF) or a metallized substrate or sheeting.

The illumination device may further comprise at least one output light-directing element, such as a collimating, collecting, or beam shaping element that directs light from the second ends to form a single illumination source. The output
25 light-directing elements may comprise an array of light-directing elements, wherein each second end is in optical communication with at least one light-directing element.

Alternatively, the plurality of waveguides may comprise a plurality of optical fibers and the output light-directing elements comprise fiber lenses on each
30 second end of the plurality of optical fibers. Similarly, the first end of the optical fibers may further comprise a fiber lens.

In another embodiment, the illumination device further includes a second plurality of LED dies and a second plurality of optical waveguides, each having first and second ends, each first end of the second plurality of optical waveguides being in optical communication with one of the second plurality of LED dies. In an exemplary embodiment, the second ends of the second plurality of optical waveguides are bundled with the second ends of the first plurality of optical waveguides to form a single light illumination source when illuminated. Alternatively, the second ends of the first plurality of optical waveguides are formed in a first bundle and the second ends of the second plurality of optical waveguides are formed in a second bundle to form separate illuminating outputs that can be directed in the same or in different directions.

These first and second light sources may have different emission spectra. In one particular embodiment, the emission spectrum of the first plurality of LED dies is essentially white light, while the second plurality of LED dies includes an infrared source. In another embodiment, the two (or more) pluralities of LED dies include different colors to allow for the blending non-white colors. The first and second pluralities of LED dies may be illuminated individually or collectively to vary the intensity of the illumination source.

Additionally, the system may comprise at least one output optical element that is optically coupled to direct output light from the second ends of the first plurality of optical waveguides along a first path and a second output optical element that is optically coupled to direct output light from the second ends of the second plurality of optical waveguides along a second path.

Such embodiments may be applied as a headlight illumination system for an automobile or other vehicle or platform. In one exemplary embodiment, the intensity of the headlight beam can be controlled by illuminating a particular number of LED chips of the array of light sources. For example, a first plurality of LED dies may be illuminated for a low beam and the first and/or a second plurality of LED dies may be illuminated for a high beam.

In another exemplary embodiment, the illumination system can further comprise an infrared sensor for, e.g., collision detection, illumination, and/or telemetry applications.

The above summary of the present invention is not intended to describe each illustrated embodiment or every implementation of the present invention. The figures and the detailed description that follow more particularly exemplify these embodiments.

5 BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a perspective view of an illumination system in accordance with an embodiment of the present invention.

Fig. 2 is a simplified cross-sectional side view of a light source used in an illumination system in accordance with an embodiment of the present invention.

10 Fig. 3 is a detail view of a portion of the light source and reflector surface in accordance with an embodiment of the present invention.

Fig. 4 is a graph representing the curve shape plot of reflector points assuming an 80 degree maximum emission angle and a 30 micrometer separation between the LED die and the reflector.

15 Fig. 5 is a cross-sectional side view of an embodiment of a light concentrating element in accordance with an embodiment of the present invention.

Fig. 6a shows an example single light receiving fiber and Fig. 6b shows an example bundle of light receiving fibers.

20 Fig. 7 is a partially exploded view of an array of interconnected LED dies and an array of optical concentrating elements used in an illumination system in accordance with an embodiment of the present invention.

Figs. 8-11 are cross-sectional end views of alternative embodiments of an optical connector used in an illumination system in accordance with the present invention.

25 Fig. 12 is a simplified illustration of an assembling setup for the simultaneous manufacture and termination of cable assemblies.

Fig. 13 is an exploded perspective view of a vehicle illumination system in accordance with an embodiment of the present invention.

30 Fig. 14 is an example construction of a multilayer, high density solid state light source.

Figs. 15 and 16 are example constructions of a phosphor encapsulated LED die.

While the invention is amenable to various modifications and alternative forms, specifics thereof have been shown by way of example in the drawings and will be described in detail. It should be understood, however, that the intention is not to limit the invention to the particular embodiments described. On the
5 contrary, the intention is to cover all modifications, equivalents, and alternatives falling within the scope of the invention as defined by the appended claims.

DETAILED DESCRIPTION OF THE INVENTION

Generally, previous optical fiber lighting designs suffered from high coupling losses and were therefore very inefficient. An illumination system in
10 accordance with the present invention provides for substantially higher light coupling efficiency. Furthermore, the illumination system of the present invention offers an incoherent light output that can appear to the human observer as arising from a single point of light. In addition, exemplary embodiments of the present invention show that an array of LED dies can be utilized to provide a high density,
15 remote source of light that can be output at one or more locations. Moreover, exemplary embodiments of the present invention provide an array of LED dies that can be utilized to provide a high density, remote source of light that can produce one color, or multiple colors, either individually, or simultaneously, at one or more locations. In addition, the colors or color combinations of the source may be made
20 changeable to suit particular requirements of applications as conditions of use vary during operation. Other exemplary embodiments are discussed below.

Figure 1 illustrates an exemplary first embodiment of a remote lighting system 100 in accordance with an embodiment of the present invention. An array
25 102 of bright LED dies 104 are positioned in optical alignment with an array of optical elements 110, which can include a plurality of passive optical elements, such as focusing lenses 112 or optical concentrating elements, such as reflectors 120 (see Fig. 2). The array of optical elements 110 are in turn optically aligned to an array of waveguides 124, which can include a plurality of optical waveguides, such as optical fibers 122. The array of waveguides 124 can be connectorized,
30 where the connectorization can include a connector 132 to support and/or house the light-receiving ends of fibers 122. The connectorization can also include a connector 130 to support and/or house the output ends of fibers 122. Exemplary

connector structures are shown in Figs. 8-11, described below. As would be apparent to one of ordinary skill in the art given the present description, the output ends of the fibers 122 may be bundled to form a point-like source or a shaped-array, such as a linear array, circular array, or other shaped-array.

5 The array 102 is made out of an array of discrete LEDs 104, such as an array of single LED dies or chips, which are mounted individually and have independent electrical connections for operational control (rather than an LED array where all the LEDs are connected to each other by their common semiconductor substrate). LED dies can produce a symmetrical radiation pattern,
10 making them desirable light sources for the present invention. LED dies are efficient at converting electrical energy to light and are not as temperature sensitive as most laser diodes. Therefore, LED dies may operate adequately with only a modest heat sink compared to many types of laser diodes. In an exemplary embodiment, each LED die is spaced apart from its nearest neighbor(s) by at least
15 a distance greater than an LED die width.

 In addition, LED dies can be operated at a temperature from -40° to 125°C and can have operating lifetimes in the range of 100,000 hours, as compared to most laser diode lifetimes around 10,000 hours or halogen automobile headlamp lifetimes of 500-1000 hours. In an exemplary embodiment, the LED dies can each
20 have an output intensity of about 50 Lumens or more. Discrete high-power LED dies are commercially available from companies such as Cree and Osram. In one exemplary embodiment, an array of LED dies (manufactured by Cree), each having an emitting area of about $300\text{ }\mu\text{m} \times 300\text{ }\mu\text{m}$, can be used to provide a concentrated (small area, high power) light source. Other light emitting surface
25 shapes such as rectangular or other polygonal shapes can also be utilized. In addition, in alternative embodiments, the emission layer of the LED dies utilized can be located on the top or bottom surface.

 In an alternative embodiment, the LED array may be replaced with a white VCSEL array. The passive optical element array 110 may be used to redirect that
30 light emitted from each VCSEL into a corresponding fiber 122.

 An aspect of the illustrated embodiment of Fig. 1 is the one-to-one correspondence between each light source, a corresponding passive optical element

(lens, focusing, concentrating, or reflective element), and a corresponding waveguide. When powered, each LED die 104 acts as an individual light source that launches light into a corresponding flexible individual fiber 122. The present exemplary embodiment includes large-core (for example, 400 μm to 1000 μm)

5 polymer clad silica fibers (such as those marketed under the trade designation TECS, available from 3M Company, St. Paul, MN). Other types of optical fibers, such as conventional or specialized glass fibers may also be utilized in accordance with the embodiments of the present invention, depending on such parameters as, e.g., the output wavelength(s) of the LED die sources.

10 In addition, as would be apparent to one of ordinary skill given the present description, other waveguide types, such as planar waveguides, polymer waveguides, or the like, may also be utilized in accordance with the present teachings.

Optical fibers 122 may further include fiber lenses on each of the output

15 ends of the optical fibers. Similarly, the light receiving ends of the optical fibers may each further comprise a fiber lens. Fiber lens manufacture and implementation is described in commonly owned and co-pending U.S. Patent Application Nos. 10/317,734 and 10/670,630, incorporated by reference herein.

One particular embodiment of the present invention, illustrated in Fig. 13,

20 described in further detail below, is the implementation of an LED driven automotive headlamp using flexible TECS fiber to interconnect the light source and the headlamps. An aspect of this embodiment is the efficient coupling of the LED light into the TECS fiber in a way that produces the required luminance and beam pattern with a reduced number of LED sources.

25 As illustrated in Figure 2, a shaped reflector 120 may be added to each LED die 104 to redirect light from the LED die 104 into a corresponding fiber 122, which can have an exemplary core diameter of about 600 μm to 650 μm . In an exemplary embodiment, the structure of each reflector provides non-imaging light collection and distribution of the illumination to the light receiving fibers. The

30 shaped reflectors 120 may be made of a multilayer optical film (MOF), such as Enhanced Specular Reflector (ESR) film available from 3M Company, St. Paul,

MN. Examples of MOFs are generally described in detail in U.S. Patent Nos. 5,882,774 and 5,808,794, incorporated by reference herein in their entirety.

Alternatively, reflectors 120 may be formed in the appropriate shape in a metallic or plastic substrate or sheeting and coated with a reflective material, such as silver, aluminum, or reflective multilayer stacks of inorganic thin films. For example, an injection molded plastic film or sheeting may be formed. The reflector cavities formed therein may be coated with a suitable reflecting material. As described herein, the array of reflectors can be oriented beneath, around, or above the LED dies. In addition, the reflector cavity may be filled with an index matching material.

Referring back to Figure 1, the individual fibers 122 are collected together to provide remote lighting at a distance from the original light sources. In a particular embodiment, the fibers 122 are brought together into a tight bundle in an output connector 130 that would replace, e.g., the bulb or bulb filament in a spotlight or headlight assembly. A further description of an LED-based lighting assembly that is implanted as a bulb replacement is described in a commonly pending and co-owned application entitled "Solid State Light Device" (Atty. Docket No. 59349US002), incorporated by reference above.

Referring back to Figure 2, in an exemplary embodiment, a bare blue or UV LED die can be utilized. In some exemplary embodiments, the LED die can be coated, preferably on a light-emitting surface, with a phosphor layer 106, such as YAG:Ce phosphor. The phosphor layer 106 can be used to convert the blue output of the LED die into "white" light.

In an alternative embodiment, a collection of red, blue, and green LED dies can be selectively placed in an array. The resulting emission is collected by the array of fibers 122 so that the light emitted from the output ends of the fibers is seen by an observer as colored light or "white" light, when blended together in concert.

As shown in Fig. 2, the phosphor layer can be mounted or formed on an emitting surface of an LED die. In an exemplary embodiment of the present invention, as shown in Fig. 15, the phosphor layer 506 can be precisely defined in order to substantially preserve, or reduce the degradation of the étendue of the

LED die surface emission. By “substantially preserve” it is meant that the étendue of the LED die is maintained or is increased by a factor of two or less.

As shown in Fig. 15, a phosphor layer 506 is formed on LED die 504, which is surface mounted on substrate 540. In one example, the LED die 504 is a blue or UV surface emitting LED. The substrate 540 provides a conductive surface for the LED die cathode and anode access. For example, one or more wirebonds 545 can be coupled from an electrical contact surface 541 of the substrate to one or more bond pads 546 disposed on the top surface of LED die 504. Alternatively, a wirebond 545 may not be required to be bonded to the top surface of the LED die.

The phosphor layer 506 is disposed on or near an area of the LED die substantially corresponding to its emission surface. It is understood that LED dies typically emit radiation through more than one surface. Layer 506 can be formed to a substantially uniform thickness (for example, about 75 μm to about 150 μm) and cured (partially or fully). In this exemplary embodiment, the layer 506 can then be converted into a shape or shapes by ablation, die cutting or other suitable techniques with minimal surface deformation to match the shape of the LED die emission surface. Alternatively, undersizing or oversizing layer 506, or forming a shape different from the shape of the LED die emission surface, may be utilized. When utilizing an array of LED dies, the phosphor layer may be formed directly on the surface of each LED die or, alternatively, the phosphor layer can be part of a separate, coated film of selectively patterned phosphor that is applied at or near the surfaces of an array of LED dies. Additional phosphor orientation is discussed further below and in a commonly pending and co-owned application entitled “Multiple LED Source and Method for Assembling Same” (Atty. Docket No. 59376US002), incorporated by reference above.

In an exemplary embodiment, phosphor layer 506 is formed as a phosphor-loaded encapsulant. For example, a YAG:Ce phosphor and a UV cure epoxy (such as a Norland NOA81 UV cure epoxy) can be utilized. The phosphor-loaded encapsulant can be partially or fully cured. In a partially cured state, the phosphor encapsulant will flow around the wirebond, encapsulating the wirebond and adhering both the phosphor and the wirebond to the surface of the die. If a

hydrophobic encapsulant material is used, the reliability of the electrical interconnect can be improved. The phosphor encapsulant can be a low modulus material to minimize adverse effects due to the rising/falling temperature of the LED die. Here, the coefficient of thermal expansion (CTE) mismatch between the LED die material and the phosphor material can be compensated by such a deformable encapsulant.

If the phosphor encapsulant is fully cured, an additional adhesive layer (having about the same thickness as the wirebond) can be disposed on the surface of the LED die. For example, the additional adhesive layer can be formed on the LED die surface by deposition or dip-coating techniques. Thus, the additional adhesive layer can be utilized to encapsulate the wirebond and the phosphor encapsulant can be placed in void-free contact (via the adhesive) with the surface of the LED die.

The above well-defined phosphor layer construction can be used to substantially preserve the étendue of the light emitting surface of the LED die. In this example, the area of the phosphor layer is formed to be about the same as the area of the light-emitting surface. In addition, the thickness of the phosphor layer can be controlled to a suitable amount because as the phosphor layer has an increased thickness, the amount of light emitted from the sides of the phosphor layer will increase. In addition, color temperature and color uniformity parameters can be used to determine proper phosphor layer thickness for particular applications.

In an alternative embodiment, shown in Fig. 16, the shape of the phosphor layer can be further defined to substantially preserve the étendue of the LED die source. Here, LED die 504 is coupled to substrate 540, including contact surface 541, via wirebond 545. A phosphor layer 506 is formed on the light-emitting surface of LED die 504 as is described above. In addition, further ablation or dicing techniques may be used to form angled surfaces 507 on the phosphor layer 506.

Referring back to Fig. 2, a reflector 120 can be used to couple light emitted from the LED die 104 into fiber 122. Also, as shown in Fig. 2, the reflector can be formed so that it can slide over the LED die, so that its lower opening 123 provides

a close fit around the perimeter of the LED die 104. Alternative reflector designs include the additional use of a reflective coating on the substrate on which the LED die is supported. Other reflector designs are described in detail in the commonly owned and co-pending patent application entitled "Reflective Light Coupler" (Atty. Docket No. 59121US002, filed concurrently, and incorporated by reference above.

An important aspect of this optical system is the shape of the reflective surface 121 of reflector 120. The reflector 120 can be formed by injection molding, transfer molding, microreplication, stamping, punching or thermoforming. The substrate in which the reflector 120 can be formed (singularly or as part of an array of reflectors) can include a variety of materials such as metal, thermoplastic material, or MOF. The substrate material used to form the reflector 120 can be coated with a reflective coating or simply polished in order to increase its reflectivity.

The shape of the reflector surface 121 is designed to convert the isotropic emission from the LED die, including a phosphor-coated LED die, into a beam that will meet the acceptance angle criteria of the light receiving fiber, e.g., a TECS fiber, thus preserving the power density of the light emitted from the LED dies. Once the light emitted by the LED die is collected and redirected by the reflector into the light receiving fiber, the fiber(s) can be used to transport the light to a distant location with low optical loss by total internal reflection. However, the light receiving fibers do not only serve to transport light. In addition, in accordance with embodiments of the present invention, by translating the fibers from the wider spacing of the LED die array to a tighter spacing or spacings, such as a tight packed fiber bundle, light from the widely dispersed LED array can be effectively concentrated into a very small area. Also, the optical design of the exemplary TECS fiber core and cladding provide for shaping the light beams emerging from the bundled ends, due to the Numerical Aperture (NA) of the fibers at the input end as well as the output end. As described herein, the light receiving fibers perform light concentrating and beam shaping, as well as light transportation.

The étendue, ε , may be calculated using the formula

$$\varepsilon = A * \Omega \cong \pi * A * \sin^2 \theta = \pi * A * NA^2$$

where

Ω is the solid angle of emission or acceptance (in steradians);

5 A is the area of the receiver or emitter,

θ is the emission or acceptance angle, and

NA is the Numerical Aperture.

For example, assuming an NA of 0.48 and a 600 micrometer (μm) diameter fiber core, the étendue that can be received and transmitted by the fiber is about
 10 0.2 mm^2 steradians (sr). It is also assumed that a maximum emission surface of an exemplary LED die is about $300 \mu\text{m} \times 300 \mu\text{m}$ (or $90000 \mu\text{m}^2$) and that, in example implementations with the phosphor, the LED die has a nearly isotropic or Lambertian intensity distribution. Assuming a half-angle of 80 degrees, the étendue of the LED die is about $0.28 \text{ mm}^2 \text{ sr}$. Thus, while not all the light from the
 15 LED die may be collected by the fiber, a very large percentage of light (50 % or greater) can be collected and transmitted by the light receiving fiber utilizing the reflector surface design and orientation described herein.

As mentioned above, in an exemplary embodiment where a phosphor layer is used to convert the light output to "white" light, the phosphor layer size and/or
 20 thickness can be limited in order to preserve the étendue of the emitting surface of the LED die.

Improving or optimizing the reflector shape can increase or even maximize the light transfer into the fiber. The general geometry for optimizing the reflector shape for a distributed light source with nearly Lambertian emission is shown in
 25 Figure 3, which is a detail of the reflector surface 121 from Figure 2 with angle and coordinate axis nomenclature added.

The general geometry in Figure 3 shows that for a given point on the mirror surface the light from the LED die strikes the mirror surface at an arrival angle θ_i . Further, this point on the mirror is located at (x,y) and at this point the mirror
 30 makes an angle of ϕ_j relative to the vertical. The reflected beam from the mirror surface can then be shown to be at an angle of

$$\theta_r = 2 * \phi_j = \text{entrance angle}$$

relative to the vertical which will be the entrance angle into the fiber.

The lighting constraints as imposed by the fiber and the LED in this example are:

1. LED/phosphor source size: 300 micrometer diameter
- 5 2. LED/phosphor emission angles: $\pm 80^\circ$
3. TECS fiber size: 600 micrometer core diameter
4. TECS fiber acceptance angle: NA 0.48 = entrance angle $\pm 28.7^\circ$

Some assumptions may be made to simplify the analysis at some expense of generality.

10 Limitations of the following analysis are:

- The full source size is not considered, as it is rectangular, not circular as in this model – the actual source size is a square 300 micrometers by 300 micrometers.
- The high angle light emitted from the part of the LED die nearest the reflector is neglected.

The analysis assumptions are:

- Light is reflected into (or directly enters) the fiber at an angle less than the acceptance angle of $\pm 28.7^\circ$. Thus the constraint on the reflected beam is that $|\theta_i - 2\phi_j| \leq 28.7^\circ$.
- 20 • The light emission angle from the LED/phosphor is nearly isotropic and so may vary from 0° to 80° half-angle from the vertical. The 80° maximum angle is used to establish the (x,y) coordinates of the first analysis point.
- Emission angles less than 28.7° are presumed to directly enter the fiber.
- 25 • The configuration is rotationally symmetric (see Limitations above).

For the analysis, the lowest point on the reflector curve is assumed to be at an incident angle controlled by the maximum angle of emission $\theta_i = 90 - 80 = 10^\circ$. This assumption then defines, for a value of x, the y or location of the reflector with orientation ϕ_j . For example, if the reflector is assumed to start 30 micrometers to the right of the LED 104 in Figure 2, the (x, y) location of the first point on the reflector is calculated to be $330 * \tan(90 - 80)$ or 58 micrometers.

Once the y location of the reflector point is known, the minimum angle to the nearest point on the LED/phosphor can be calculated as $\tan^{-1}(\frac{y}{(x-300)})$ assuming the x coordinate system starts at the furthest edge of the (assumed round, in this example) LED, 300 micrometers away. For the reflection point at y = 58 micrometers, the minimum emission angle is 27.3°.

With the minimum and maximum emission angles θ_i calculated, the maximum and minimum reflector angle ϕ_j can be calculated such that the reflected ray would enter the fiber using Equation 1 and the first constraint above. Continuing the example, the reflector angle can be between about 0.7° and about 25.7°.

The reflector shape then may be numerically estimated by repeating this calculation for various angles less than the maximum of 80 degrees. In Table 1, the angle is decreased by one degree increments to the acceptance angle of the fiber, about 29°.

With the array of (x, y) values for the reflector, the incremental reflector angle generated by this approach can then be estimated from the local derivative (difference) of the two (x, y) pairs nearest the selected (x, y) point. In the example for the maximum 80° angle, the initial reflector angle is 17.5°.

From the plot, the polynomial regression fit for the curve generated by this approach is $y = 5E-06x^4 - 0.0068x^3 + 3.6183x^2 - 859.5x + 76443$ ($R^2 = 1.0$) where, as shown in Figure 3, the coordinate system origin is at the left edge of the (assumed round) LED.

Table 1 below shows example calculations for a maximum emission angle of 80 degrees and a separation of 30 micrometers from the edge of the LED to the edge of the reflector. From Table 1, the calculated ϕ_j values for the actual curve are calculated in the last column of the table below. These values are coded in bold if the actual reflector will reflect the LED light into the fiber and in italics if some of the LED light will be reflected outside the acceptance angle of the fiber. The calculations show that with the exception of the top of the mirror surface, the emitted light can be reflected into the fiber. Figure 4 is a plot of the curve shape of reflector points as outlined in the text assuming an 80 degree maximum emission

angle and a 30 micrometer separation between the LED and the reflector. This figure shows three representations based the circular die model. The center Y plot represents the minimum inscribed circle from the center of the LED die to a nearest edge, the diagonal Y represents the maximum circumscribed circle from the center of the die to a corner, and the polynomial (center Y) is a polynomial fit of the center Y data. Note that while a 4th order polynomial is an exact fit, a 2nd order polynomial has a $R^2 = 0.997$.

At least one method to make the upper portion of the mirror surface also reflect light into the fiber would be to make the curve piecewise discontinuous, for example, making the upper 400 micrometers portion simply vertical ($\phi_j = 0$).

Table 1

Max angle	x	y	min angle	ϕ min	ϕ max	ϕ_j
80	330	58.2	27.3	-0.7	25.7	17.5
79	332	64.5	26.4	-1.2	25.2	17.2
78	334	71.0	25.6	-1.5	24.7	16.9
77	336	77.6	24.9	-1.9	24.2	16.6
76	338	84.3	24.3	-2.2	23.7	16.3
75	340	91.1	23.7	-2.5	23.2	16.0
74	342	98.1	23.2	-2.8	22.7	15.7
73	344	105.2	22.7	-3.0	22.2	15.4
72	346	112.4	22.3	-3.2	21.7	15.1
71	348	119.8	21.8	-3.4	21.2	14.8
70	350	127.4	21.4	-3.6	20.7	14.5
69	352	135.1	21.0	-3.8	20.2	14.2
68	354	143.0	20.7	-4.0	19.7	13.9
67	356	151.1	20.3	-4.2	19.2	13.6
66	358	159.4	20.0	-4.3	18.7	13.3
65	360	167.9	19.7	-4.5	18.2	13.0
64	362	176.6	19.3	-4.7	17.7	12.7
63	364	185.5	19.0	-4.8	17.2	12.3
62	366	194.6	18.7	-5.0	16.7	12.0
61	368	204.0	18.4	-5.1	16.2	11.7
60	370	213.6	18.1	-5.3	15.7	11.4
59	372	223.5	17.9	-5.4	15.2	11.1
58	374	233.7	17.6	-5.6	14.7	10.8
57	376	244.2	17.3	-5.7	14.2	10.5
56	378	255.0	17.0	-5.8	13.7	10.2
55	380	266.1	16.7	-6.0	13.2	9.9
54	382	277.5	16.5	-6.1	12.7	9.6
53	384	289.4	16.2	-6.2	12.2	9.3
52	386	301.6	15.9	-6.4	11.7	9.0

Max angle	x	y	min angle	ϕ min	ϕ max	ϕ_j
51	388	314.2	15.6	-6.5	11.2	8.7
50	390	327.2	15.4	-6.7	10.7	8.4
49	392	340.8	15.1	-6.8	10.2	8.1
48	394	354.8	14.8	-6.9	9.7	7.8
47	396	369.3	14.6	-7.1	9.2	7.6
46	398	384.3	14.3	-7.2	8.7	7.3
45	400	400.0	14.0	-7.3	8.2	7.0
44	402	416.3	13.8	-7.5	7.7	6.7
43	404	433.2	13.5	-7.6	7.2	6.5
42	406	450.9	13.2	-7.7	6.7	6.2
41	408	469.4	13.0	-7.9	6.2	5.9
40	410	488.6	12.7	-8.0	5.7	5.7
39	412	508.8	12.4	-8.1	5.2	5.4
38	414	529.9	12.1	-8.3	4.7	5.2
37	416	552.1	11.9	-8.4	4.2	4.9
36	418	575.3	11.6	-8.5	3.7	4.7
35	420	599.8	11.3	-8.7	3.2	4.4
34	422	625.6	11.0	-8.8	2.7	4.2
33	424	652.9	10.8	-9.0	2.2	4.0
32	426	681.7	10.5	-9.1	1.7	3.7
31	428	712.3	10.2	-9.2	1.2	3.5
30	430	744.8	9.9	-9.4	0.7	3.3
29	432	779.3	9.6	-9.5	0.2	3.1
28	434	816.2	9.3	-9.7	-0.3	

The above reflector designs can be implemented in an array pattern in a number of different implementations. For example, Figure 7 shows an example array of LED die sources 104, coupled to electrical interconnect means 142, which can be disposed on a circuit layer 141 (such as flexible circuits or semi-additive flexible circuits, including 3M™ Flexible (or Flex) Circuits, available from 3M Company), which can be disposed on a substrate. The LED dies 104 can be surface mounted to layer 141 or, in one alternative, recessed into receiving apertures formed in the flexible circuit layer. As an alternative to the wirebonding connections discussed above, an alternative electrical interconnection of the LED array is made possible when utilizing flexible circuitry. For example, cantilevered leads can be formed by chemical removal of the dielectric, e.g. polyimide. This process can leaves one (or two) lead(s) cantilevered for ultrasonic or wire bonding to the electrical contact on the LED die. Such cantilevered interconnect leads may be smaller than wirebond wires and are substantially flat.

As mentioned above, phosphor elements 106 can be utilized to convert the output wavelength of the light from the LED die emission spectrum to the desired illumination spectrum. Also, a corresponding array of reflectors 120 can be utilized, forming an array 110 of passive optical elements, which can be formed in a microreplicated reflector sheeting 111, to efficiently couple light from the LED dies to a matching array of optical fibers 122, such as those shown in Figure 1. The reflector sheeting may comprise a MOF (such as that available from 3M company), with open reflector cavities formed therein. Alternatively, sheet 111 can comprise reflectors 120 made from an injection molded material with reflective coatings (e.g., silver, aluminum, gold, inorganic dielectric stacks, or the like) disposed or coated on the inner walls (such as surface 121 from Fig. 2). Alternatively, reflectors 120 can be formed using an embossed or punched metal sheet of reflector shapes.

In addition, phosphor layer 106, such as those described previously, can be selectively patterned by incorporating a pattern of phosphor material onto the top or bottom of the array layer 110. While Figure 7 shows a square LED die array, a regular or irregular array of LED light sources with associated optical elements, electrical interconnect, phosphor elements and reflective shapes could be used depending on application requirements. In addition, with this exemplary design, fiducial marks 149 can be used to align the respective array layers. An example multilayer construction for a multiple LED die source is described below with reference to Fig. 14.

Figure 5 shows an example mounting structure or substrate 140 for the LED die 104. Substrate 140 can provide a low resistance thermal path to conduct heat away from the LED die 104. In this exemplary embodiment, LED die 104 is disposed in a well 151, where the bare LED die 104 can be attached to the substrate 140 by a conventional attachment, such as by using a solder or metal (e.g., Au-Sn) reflow die attachment. The substrate 140 can also support a circuit layer. In this exemplary embodiment, the substrate 140 can be coated with a reflective coating 143. In addition, as shown in Fig. 5, a cantilevered lead 148 is bonded onto the LED die from the interconnect circuit layer.

In Fig. 5, the phosphor material 106 can be located in the bottom of the reflector, coated on a sheet laminated to the bottom of the reflector, selectively patterned on a sheet laminated to the bottom of the reflector or, in a preferred method, deposited on top of the LED die.

5 In an exemplary embodiment, an interconnect circuit layer, rigid or flexible, can be utilized to provide interconnection. As described herein, flexible circuit materials are available from the 3M Company. In the example shown in Fig. 5, a dielectric (e.g., polyimide) portion 145 of the flexible circuit layer can be disposed on reflective coating 143. In addition, a conductive portion 147 of the
10 flexible circuit layer, such as a copper conductor and/or other metallization (e.g., Ni/Au) can be disposed on polyimide portion 145 for interconnection.

Alternatively, the flexible circuit layer can be inverted, and the bare LED die can reside in a recessed portion of the polyimide surface, directly on the metal/circuit layer 147. In this alternative implementation, wells need not be
15 formed in the substrate material. 140. An electrically insulating material with good thermal conductivity may be disposed between the conductive portion of the flexible circuit and substrate, depending on the die electrical attachment requirements. Example implementations of interconnect circuitry are described in a concurrently pending and co-owned application entitled "Illumination Assembly"
20 (Atty. Docket No. 59333US002), incorporated by reference above.

A potentially lower performance, but perhaps lower cost alternative embodiment, can include a conventional FR4 epoxy based printed wiring board structure for electrical interconnect. In yet another embodiment, a low cost circuit can be prepared by patterning conductive epoxy or conductive ink onto a suitable
25 substrate as required to connect the LED die array.

As mentioned above, a one-to-one fiber to LED die correspondence can provide for better illumination efficiency. As an illustration of this principle, Fig. 6a shows a single fiber 125, with a core 125a and a cladding 125b. Fig. 6b shows a bundle 127 of nineteen fibers 125. For example, the effective area of a beam of
30 light that is needed to illuminate bundle 127 is about 0.0017 square inches (0.011 square centimeters), for fibers 125 having an outer diameter of 0.028 inches each, with a core diameter of about 650 micrometers. The area of the nineteen light

transmitting fiber cores 127 is about 0.0010 square inches (0.0065 square centimeters). With an assumed uniformly distributed light source, the amount of light coupled into a waveguide is proportional to the input area of the waveguide; therefore, the efficiency ratio of light coupling in this figure is .0010/.0017, or
5 about 60%.

An advantage of the present invention is an efficient launch of light into individual fibers of a fiber bundle. If using a single source, for example, efficiency can drop significantly due to uncontrolled light launch angles and to light coupling into the fiber cladding and the interstitial spaces between the fibers in the bundle.
10 Thus, traditional systems, which do not mate an individual LED to a corresponding fiber, may lose 25 to 40 % of the emitted light due to the dark spaces between the fibers in the bundle. Such systems would then require tight bundling of more fibers and would still yield a less concentrated light.

In contrast, in the present invention, the light receiving fibers can then be
15 brought down into a very tight output array based on the diameter of the fibers, which thus yields a very compact, concentrated emission of light.

Because the individual light receiving fibers of the present invention are relatively small in diameter they may be routed and bent as a bundle, and the bundle may have a cross section of various geometric shapes, such as circular,
20 helical, rectangular, or other polygonal shapes. Exemplary embodiments of the present invention allow a remotely powered source to be concentrated and redirected to places where lighting power is not normally obtainable in an efficient manner.

For example, in the application of vehicle headlights, such as that
25 illustrated in Fig. 13, the invention provides a highly concentrated light source that is similar in size and shape to a lamp filament, so the emitted light can be shaped and projected by a reflective surface or refractive element. Fig. 13 shows an embodiment of a remote lighting system 300 for a motor vehicle that includes a coupler 301 to couple the vehicular power source (not shown) to an array of LED
30 dies 304. The LED dies 304 can be surface mounted on an interconnect circuit layer 341 that can be disposed on a substrate 340, made from a thermally conductive material, such as described above. In this embodiment, an array of

reflector shapes 320 can be disposed, such as by bonding, on the interconnect circuit layer so that each bare die is surrounded on its perimeter by a reflective surface. Light emitted from the LED dies and collected/concentrated by the array of reflectors can optionally be directed towards an array of lenses 312, which can focus the emitted light into the input ends of corresponding fibers 322.

As shown in Fig. 13, an input connector 332 can be utilized to hold the input ends of fibers 322. In this exemplary embodiment, the individual fibers can be bundled into two sets of fibers 351 and 352, so that the light can be output at different locations (e.g., left and right vehicle headlights 374 and 375). Output connectors 331A and 331B can be utilized to hold the bundled sets of fibers in their respective headlights. In this manner, a "cool" headlight can be utilized, as the heat source (i.e., the light generating source – LED die array) is remote from the eventual illumination output area. This arrangement can reduce heat damage to optical elements located in the headlight, such as reflectors, coatings, lenses, and other associated optics.

In another exemplary embodiment, the illumination system, such as system 300 shown in Fig. 13, can further include an infrared sensor. In this alternative embodiment, one or more of the array of LED dies can comprise an infrared emitting LED die. Such infrared LED dies can be conventional IR LED dies, such as those available from Honeywell. The system can further include a conventional IR detector to receive an IR signal. This alternative embodiment can be utilized for collision detection applications. In addition, other types of sensors, for example, ambient light sensors, can be employed for automatic dimming applications and/or for turning lights on automatically at dusk. Thus, in this alternative embodiment, the illumination system of the present invention can provide both illumination and telemetry. Alternatively, an infrared transceiver device can be included, constructed as an integral part of, or separate from, the illumination system.

Figures 8-11 illustrate various exemplary connector embodiments that can provide low cost construction and that can be utilized in the illumination systems and assemblies described herein.

Figure 8 illustrates a design for an input connector 132a that facilitates top or bottom loading of fibers 122 and allows for an $n \times n$ array of fibers at a determined pitch that would correspond with the array of light sources on the input end of the fiber cable assembly. In this exemplary embodiment, the connector 132a is formed from a two piece construction, with a top portion 133 having teeth 135 designed to engage fibers 122 in bottom piece 134. The bottom connector portion 134 can include grooves 136 that receive fibers 122 and teeth 135 to provide a secure fit. This structure not only allows for low cost assembly, but also enables one to assemble a two-dimensional array in one step. Other two-dimensional connector designs can require "stacking" of layers of V-groove connectors.

Figure 9 illustrates an $n \times n$ array input connector 132b where fibers 122 could be installed from the top and the bottom. The connector 132b of this exemplary embodiment is formed from a three piece construction, where the connector includes a center portion 131 having fiber receiving grooves 138 that are enclosed by top portion 133' and bottom portion 134'. Alternatively, the connector 132b can be formed from a single integrated construction. This design also allows making the two-dimensional connector in one step. To accomplish this, the assembly machine in Figure 12, for example, would have two fiber arrays instead of one wide, linear fiber array.

Figure 10 illustrates a design for an input connector 132c with top or bottom loading, where spacers 139 are used to set the column pitch between fibers. This design also eliminates the need to stack v-groove layers, by inserting "spacers" to create the two-dimensional array.

Figure 11 illustrates a design for the output connector 130 for the fiber cable assembly. This design can be for top loading and can accommodate dense packing of the fibers 122 for providing a concentrated illumination source. Other connector designs can also be utilized as would be apparent to one of ordinary skill in the art given the present description.

Figure 12 illustrates an automated assembly procedure that may be used to build these devices. This figure depicts a process for Inline Cable Assembly (INCA) that provides the capability to simultaneously manufacture and terminate

cable assemblies. The INCA process is described, for example, in co-owned U.S. Patents 5,574,817 and 5,611,017, the disclosures of which are hereby incorporated by reference. The INCA system 200 consists of an array of N fiber spools 202, with fibers feeding the INCA assembly machine 210. The fibers 222 are brought
5 into an array with a particular, desired pitch using a precision spaced guide comb 212. Typically this pitch will be the pitch required to terminate a particular connector design. Once on pitch, the fibers are routed to a connector assembly station 230, where connector components, consisting of at least a connector bottom 231 and a connector cover 232, are moved into position above and below the fiber
10 array. At predetermined intervals, along the length of the fiber array, the connector bottom 231 and cover 232 will be brought together to further align and then capture the fibers of the array. The connector assembly can be mechanically or adhesively bonded to the fibers to create a terminated cable assembly, such as through the use of laminating rollers 240 and adhesive tape 242. If a single
15 connector is assembled, the output of the machine will be connectorized pigtails (cable with a connector on one end). By installing two connectors in opposing positions, spaced slightly apart, connectorized jumper cables 250 (cable with connectors on both ends) will be output from the machine. From the point of connectorization, the assembled connector and fibers continue through the machine
20 and a protective cable jacket is installed over the fiber array and connectors.

Fig. 14 shows an exploded view of an example construction of a multilayer, high-density solid state light source 400, that can be coupled to optical fibers to provide remote sourcing, consistent with the embodiments described above. A first layer, or substrate 440, is selected to provide a base for supporting an array of
25 LED dies 404. As described above, substrate 440 can comprise a material with high thermal conductivity, such as copper, or the like. In addition, substrate 440 can be electrically conductive, and can provide a power or ground bus for the array of LED dies 404. The LED dies 404 can be bonded to substrate 440 using conventional techniques, including solder, adhesives, or the like. An adhesive
30 layer 405 can then be placed over the LED dies. The adhesive layer 405 can include a pattern of cut-outs corresponding to the position and pitch of the LED dies.

To provide electrical connections, a patterned flexible circuit layer 441 can then be placed over the patterned adhesive layer 405. The flexible circuit layer 441 includes an electrical conductor pattern 442 to provide contact to the LED dies 404. Typically, LED dies require two electrical connections – in some designs, one connection is on top of the LED die and one is on the bottom of the LED die and in other designs, both connections are on top. In this exemplary embodiment, flexible circuit layer 441 includes cut-outs corresponding to the array of LED dies. Top connections to the LED dies are made via the circuit patterns 442 on the flexible circuit layer 441 and bottom connections can be made through the substrate 440. Fiducial marks 449 can be utilized to ensure proper alignment between the substrate and flexible circuit layer 441.

An array of passive optical elements 410, such as reflectors 420 formed in a microreplicated reflector sheet 411 can be used to provide coupling of the light emitted from the LED dies to the corresponding array of optical waveguides. In this exemplary embodiment, sheet 411 includes an array of reflectors 420. The reflectors 420, consistent with the embodiments described above, can be formed in a multilayer optical film or, alternatively, they can comprise molded, machined, or embossed shapes formed from a reflective (e.g., plastic, metallic) sheet that is patterned at the same pitch as the LED dies. In addition, the reflectors can also include a lens shape within the reflector cavity. Further, patterned phosphors can be included in the reflector cavities, or bonded to the top or bottom of the sheet 411.

An additional patterned adhesive layer 445 can be used to attach array 410 to the flexible circuit layer 441. Again, fiducials 449 can be utilized for alignment. The adhesive material can be selected to provide high bond strength and/or insulation between the substrate and the array of reflectors. Further, the adhesive material can mitigate stresses due to any mismatch between the coefficient of thermal expansion (CTE) of the substrate and the reflector sheeting.

In an alternative embodiment, the position of the flexible circuit layer and the reflector array can be interchanged. For example, the flexible circuit layer leads can be routed through the reflector cavity to attach to the LED die bonding pads.

The illumination assemblies and systems described above have several advantages over prior systems. First, smaller LED dies, such as those described above, with lower heat outputs can be utilized without suffering loss in illumination intensity. In the examples discussed above, the LED dies in the array
5 are physically separated to avoid thermal hot spots in the mounting structure. This structure allows the LED dies to be electrically driven harder, with more output illumination (and hence, a brighter output beam emitted from the output ends of the fibers). Tightly packing large numbers of LED die is a long term reliability concern since local heating, even with a globally efficient thermal conduction
10 mechanism, can cause reduced LED lifetime and in extreme cases catastrophic failures. Spacing the LED dies farther apart than the width of the LED die allows reasonably thermally conductive substrates to extract the heat from the LED array without local hot spots. The LED dies may also be safely operated at higher currents and light outputs than stated in the normal operating specifications, if
15 sufficient heat extraction is provided. Moreover, as compared to filament light sources, the LED die array of the present invention does not generate intense heating in the forward directed beam, which can be a result of filament heating. This intense heat can cause damage to polymer lenses and reflector assemblies that are sometimes employed in lighting elements, such as automobile headlights.

20 A second advantage is the one fiber per LED coupling. Prior systems coupled dense arrays of LEDs into a large diameter fiber or fiber bundle. Dense LED arrays have the previously mentioned reliability problems, but their implementation has been justified as providing the best efficiency for coupling light into the fiber (at the expense of reliability). Providing one fiber per LED
25 source allows the LED dies to be physically separated, minimizing localized thermal effects from dense concentrations of LEDs as discussed above.

Another advantage is the electrical interconnect wiring. A thin (for example, 25 to 50 micrometer) layer of electrical wiring as exemplified by flexible circuitry, such as the flexible circuitry described previously, provides electrical
30 interconnect, some thermal conduction of heat from the die, and a flat electrical interconnect structure which may be laminated. The resulting construction overall is a very thin layer, so that the optical performance of this layer is not critical. The

thin, flat layer allows the entire array to be laminated into a highly reliable solid or nearly solid block of material with the LED array (on a substrate) bonded to the electrical interconnect layer, which can in turn be bonded to the reflector sheeting. The advantages of particular implementations of interconnect circuitry are
5 described in the pending and co-owned application entitled "Illumination Assembly" (Atty. Docket No. 59333US002), incorporated by reference above.

An additional advantage of the illumination devices described herein is the lamination or encapsulation of the entire assembly. Since the LED array and the reflector cavities may be filled with a solid material, for example an epoxy or
10 molded polycarbonate, the entire assembly may be laminated into a block with no voids. Voids in electrical equipment can be reliability issues in some applications because water tends to collect in polymer voids, leading to long-term reliability issues.

Also, a beam-forming reflector can be disposed in front of the LED die.
15 Further, the reflector structure may be made from MOF, which can be drawn into the reflector shape while retaining reflectivity over the visible light wavelengths and over a wide range of incident angles.

Another advantage is the described phosphor placement that provides for a selected output color. Prior attempts utilize phosphor in the cavity holding the
20 LED. This bulk phosphor deposition requires significant amounts of relatively expensive phosphor and, since the phosphor emits light isotropically, this inherently degrades the étendue of the LED source by making the LED appear larger than its actual size. This, in turn, can significantly reduce the coupling efficiency of the light into a fiber or other waveguide, as described in the
25 embodiments above.

The phosphor 106, such as shown in Figure 5, may be coated on a sheet and laminated into the structure at the bottom (or top) of the reflector, or be directly deposited on the surface of the LED. Using a coated layer of phosphor results in a very uniform, thin layer of phosphor in a binder that efficiently converts the LED
30 energy into "white" light. The phosphor layer can be precisely defined so not to appreciably increase the apparent size of the LED source, thereby preserving the étendue of the LED and improving the coupling efficiency of the system. When

depositing the phosphor-loaded epoxy directly or indirectly onto the emitting surface of the LED, the amount of phosphor can be reduced and the size of the LED emission area can be precisely maintained through precise volume deposition of very small volumes of phosphor.

5 Another advantage of the present invention is the ability to tailor the color spectrum emitted from the LED die array. While "white" light may be made from a combination of LED die colors, several exemplary embodiments utilize a phosphor layer to convert blue or UV radiation into a broad spectrum, i.e., "white" light. Using different phosphors across the LED die array can produce "white" light with a desired color temperature. Similarly, a variety of colors may be
10 produced by tailoring the phosphor used across the LED dies.

 While placing the phosphor-coated sheet on top of the reflector array may not result in the most efficient coupling of light energy into a fiber array (because of the limited acceptance angle of the optical fiber), such a construction might be
15 advantageous for a large surface, high divergence array, again without localized hot spots from dense concentrations of LEDs.

 While the present invention has been described with a reference to exemplary preferred embodiments, the invention may be embodied in other specific forms without departing from the scope of the invention. For example,
20 while the present exemplary embodiments have been shown in the area of automotive headlights, the present illumination system may be used in aircraft, marine, medical, industrial, home, and even other automotive applications. Accordingly, it should be understood that the embodiments described and illustrated herein are only exemplary and should not be considered as limiting the
25 scope of the present invention. Other variations and modifications may be made in accordance with the scope of the present invention.

We Claim:

1. An illumination device, comprising:
a plurality of solid state radiation sources (104) , preferably a plurality of LED dies, to generate optical radiation;
5 an interconnect circuit layer (142) to provide electrical connection for the plurality of solid state radiation sources;
a plurality of optical waveguides (122), preferably a plurality of optical fibers, wherein each of the plurality of optical waveguides includes a first end and a second end, wherein each first end is in optical communication with a
10 corresponding solid state radiation source of the plurality of solid state radiation sources; and
an array of optical elements (120), wherein each optical element of the array of optical elements is interposed between a corresponding first end of the optical waveguide and the corresponding solid state radiation source.
- 15 2. An illumination device, comprising:
a plurality of solid state radiation sources (104), preferably a plurality of LED dies, to generate optical radiation;
a plurality of optical waveguides, preferably a plurality of optical fibers (122), wherein each of the plurality of optical waveguides includes a first end and a
20 second end, wherein each first end is in optical communication with a corresponding solid state radiation source of the plurality of solid state radiation sources; and
a plurality of non-refractive optical elements (120), wherein each optical element of the plurality of optical elements is interposed between a corresponding
25 first end and the corresponding solid state radiation source, and wherein each non-refractive optical element is shaped to reflect concentrated light emitted by a corresponding solid state radiation source into a corresponding optical waveguide.

3. An illumination device, comprising:
a substrate (440);
an array of solid state radiation sources (404), preferably an array of LED
dies, to generate radiation, said array thermally coupled to the substrate;
5 a patterned interconnect circuit layer (441) to provide electrical connection
for the array of solid state radiation sources, said patterning corresponding to said
array;
a first patterned adhesive layer (405) to attach the interconnect layer to the
substrate, said patterning corresponding to said array;
10 an array of reflective optical elements (410) formed in a sheeting (411) to
collect radiation emanating from the array of solid state radiation sources; and
a second patterned adhesive layer (445) to attach the sheeting to the
interconnect layer.
4. The illumination device according to claims 1 or 2, wherein the plurality of
15 optical fibers comprises a plurality of polymer clad silica fibers, each having a core
diameter of about 400 to about 1000 micrometers.
5. The illumination device according to claims 1, 2, or 4, wherein the second
ends of the plurality of optical fibers are bundled in a single group to form a single
light illumination source or in separate groups to form separate light illumination
20 sources.
6. The illumination device according to any of claims 1 through 5, wherein
the array of optical elements comprises an array of optical concentrating elements.
7. The illumination device according to any of claims 1, 2, 4, 5, or 6, wherein
the plurality of optical fibers further comprise a plurality of optical focusing
25 elements, preferably fiber lenses, to receive and focus optical radiation emanating
from the second ends of the optical fibers.
8. The illumination device according to any of the preceding claims, wherein
the optical elements comprise an array of reflectors formed in multilayer optical
film or an array of open-cavity metallized reflectors.

9. The illumination device according to claim 8 wherein the array of reflectors is disposed relative to the LED dies to substantially preserve an étendue of each of the plurality of LED dies.
10. The illumination device according to any of the preceding claims, wherein
5 a surface of at least one LED die is coated with a phosphor layer, preferably a phosphor-loaded epoxy, and more preferably in an amount sufficient to at least partially convert to another wavelength the output of each LED die and to substantially maintain an étendue of each LED die.
11. The illumination device according to any of the preceding claims, wherein
10 each LED die has a width and wherein each LED die is disposed on the interconnect circuit layer at a distance greater than its width from all neighboring LED dies.
12. The illumination device according to claims 1 or 3, wherein each LED die is surface mounted on the interconnect circuit layer or is disposed in a recessed
15 aperture of the interconnect circuit layer.
13. The illumination device according to claim 6, wherein a phosphor layer is laminated on one of the top surface and the bottom surface of the array of optical concentrating elements.
14. A vehicular headlight comprising the illumination device according to any
20 one of claims 1-3.
15. The illumination device according to any of the preceding claims, wherein the array of optical elements comprises an array of reflectors, wherein each reflector of said array has an entrance aperture and an exit aperture, and wherein an emission surface of each LED die is spaced below said entrance aperture.
- 25 16. The illumination device according to claims 1, 2, 4, or 5, further comprising:
a second plurality of LED dies;

a second plurality of optical fibers, each having first and second ends wherein each first end is in optical communication with an LED die of the second plurality of LED dies; and

wherein the array of optical elements is interposed between a
5 corresponding first end of the first and second pluralities of optical fibers and the corresponding LED die.

17. The illumination device according to claim 16, wherein the second ends of the second plurality of optical fibers are bundled with the second ends of the first plurality of optical fibers to form a single light illumination source when
10 illuminated.

18. The illumination device according to claim 16, wherein the first and second pluralities of LED dies have different emission spectra.

19. The illumination device according to claim 16, wherein the first plurality and second plurality of waveguides comprise a first plurality and a second plurality
15 of optical fibers, wherein the second ends of the first plurality of optical fibers are bundled in a first bundle and wherein the second ends of the second plurality of optical fibers are bundled in a second bundle, and wherein a first direction of output emission from the first bundle is different from a second direction of output emission from the second bundle.

20. 20. The illumination device according to claim 16, further comprising:
a third plurality of LED dies, and
a third plurality of optical fibers, each having first and second ends wherein each first end is in optical communication with an LED die of the third plurality of LED dies.

21. 21. The illumination device according to claim 21, wherein the first plurality of LED dies emit red light, wherein the second plurality of LED dies emit blue light, and wherein the third plurality of LED dies emit green light.

22. The illumination device according to claims 1, 2, or 3, wherein an output emission from the array of LED dies produce light at a selected color temperature.

1/10

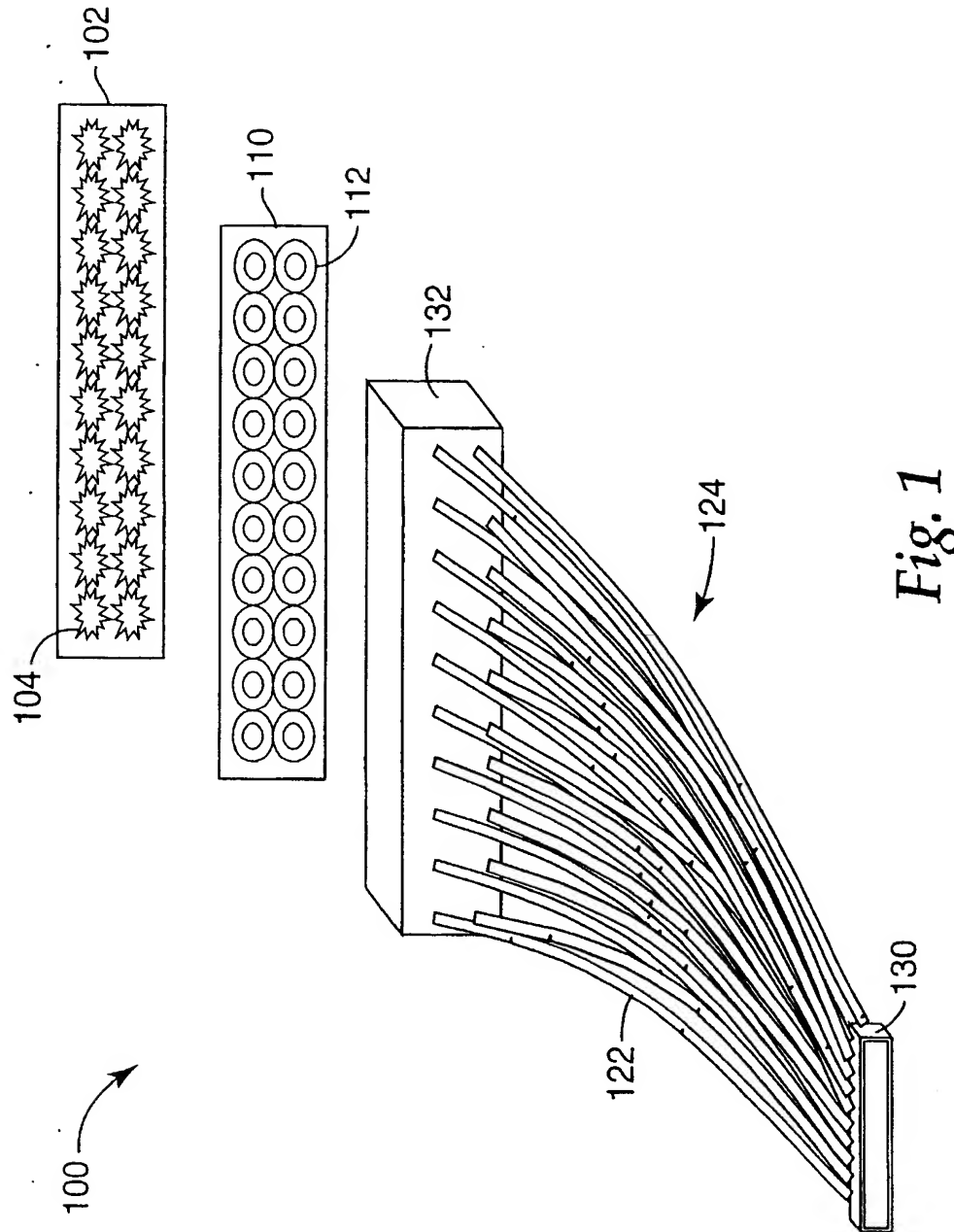
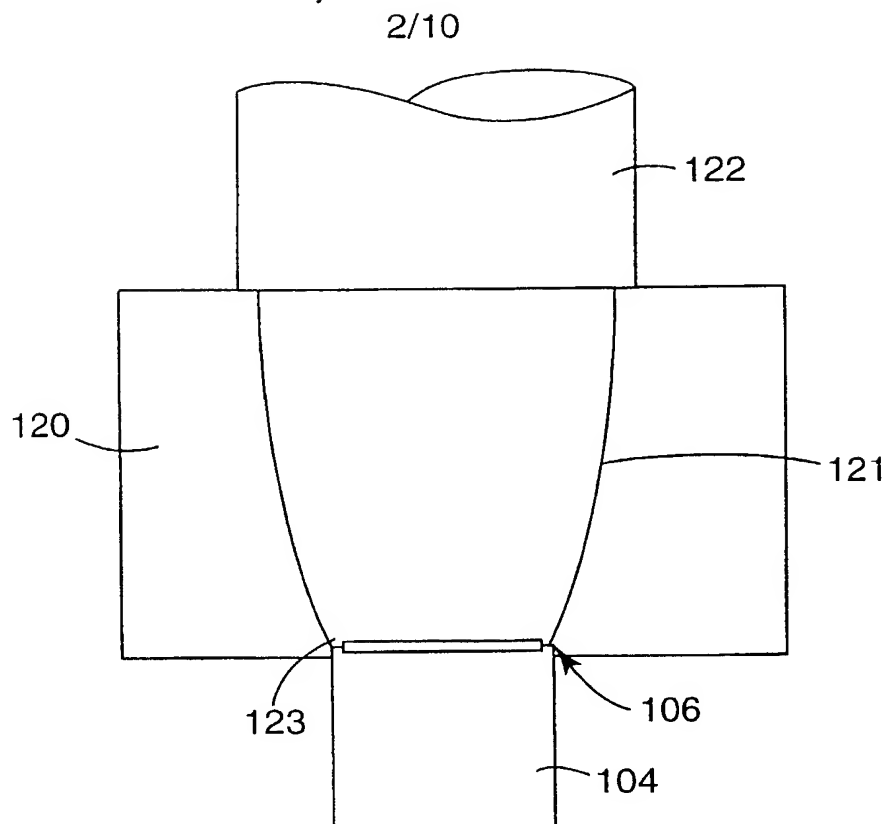
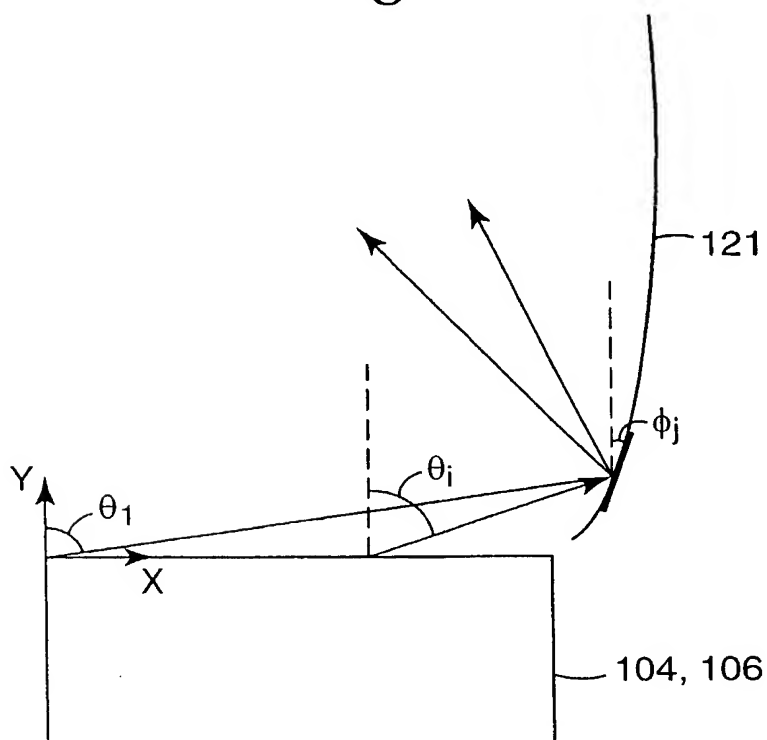
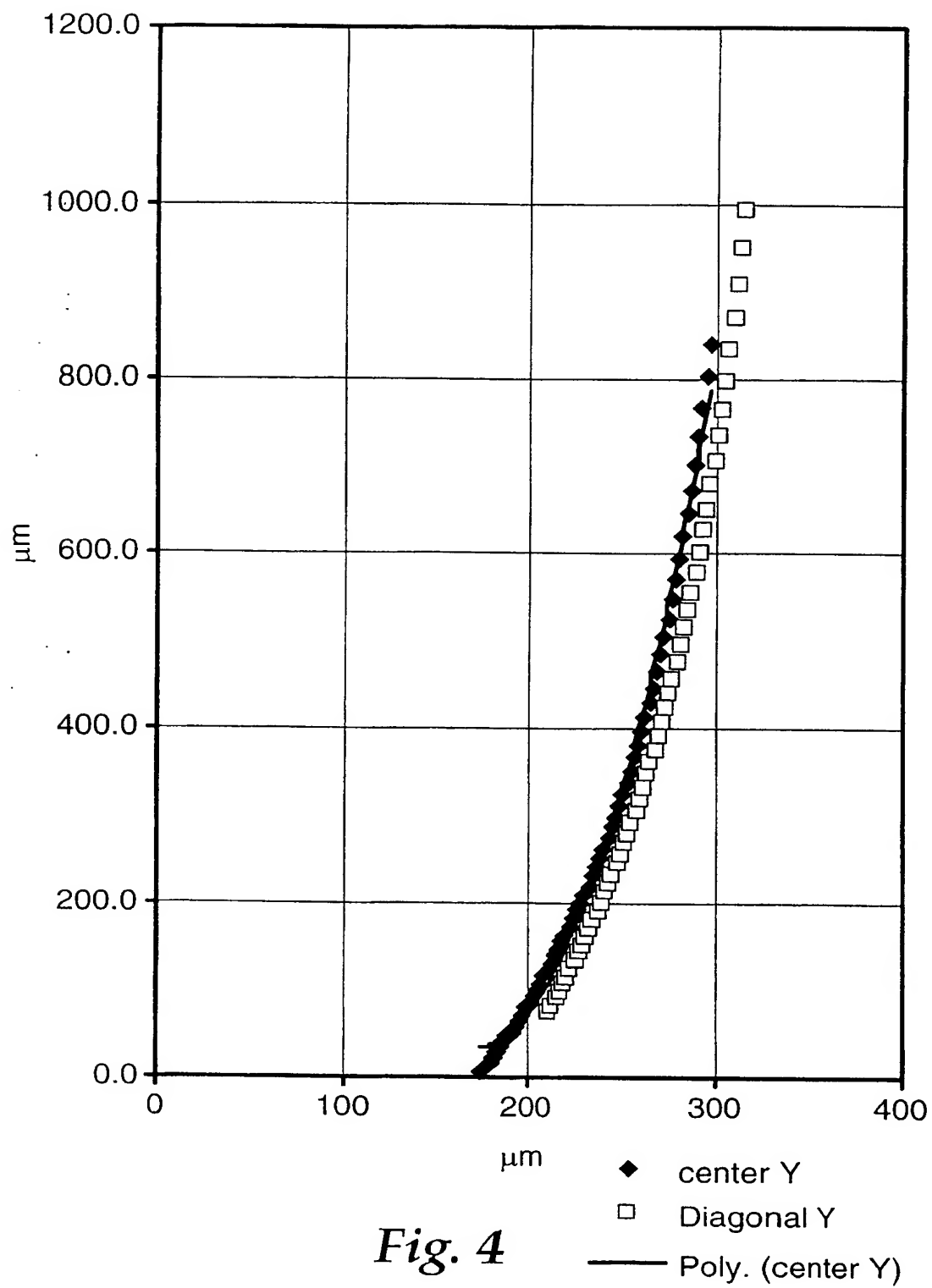


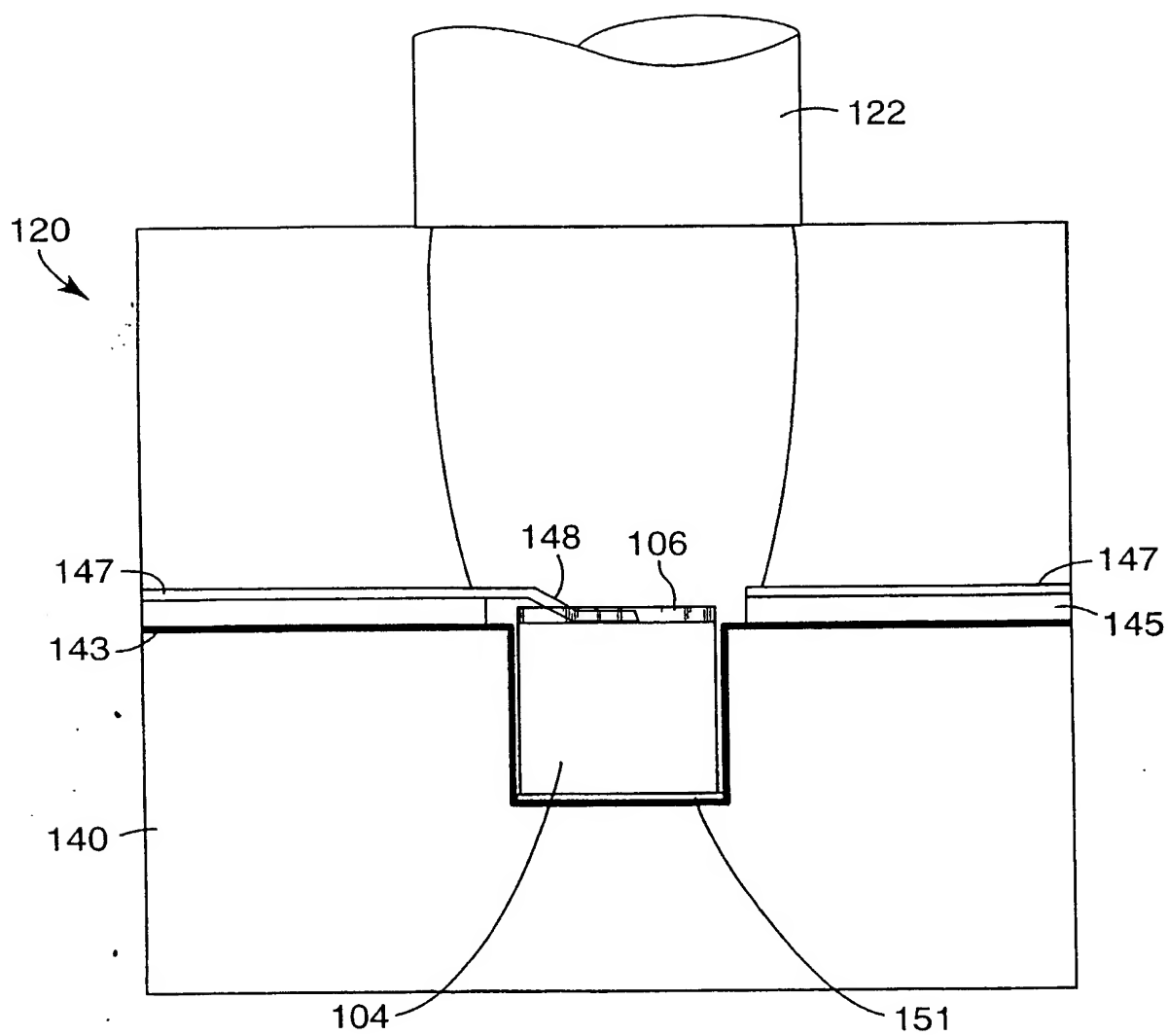
Fig. 1

*Fig. 2**Fig. 3*

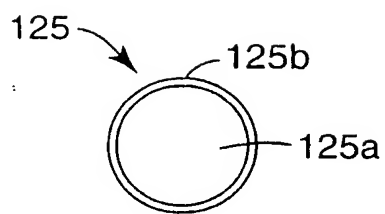
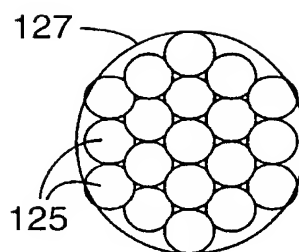
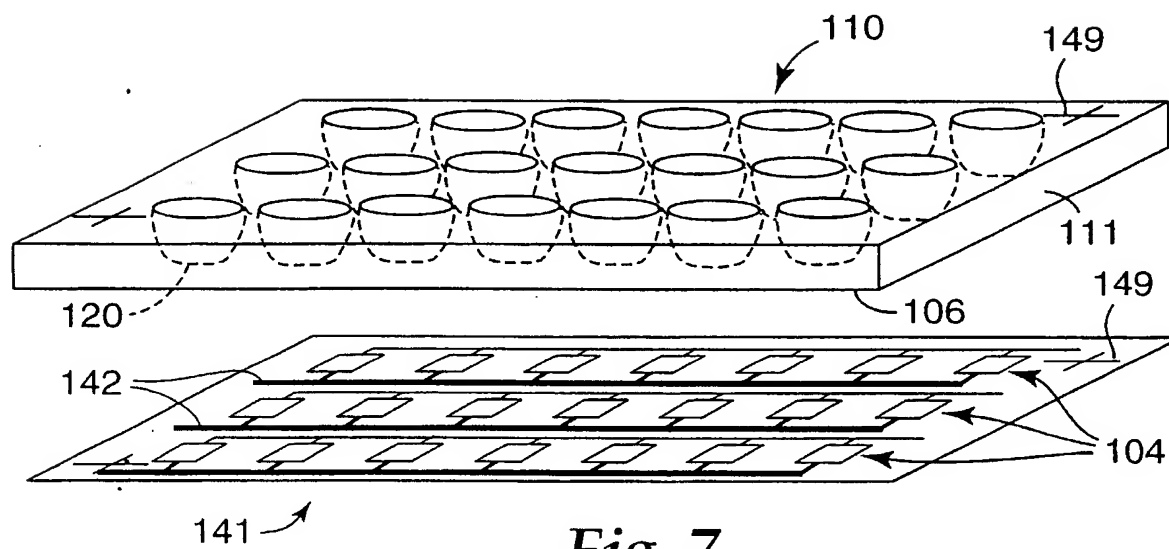
3/10



4/10

*Fig. 5*

5/10

*Fig. 6a**Fig. 6b**Fig. 7*

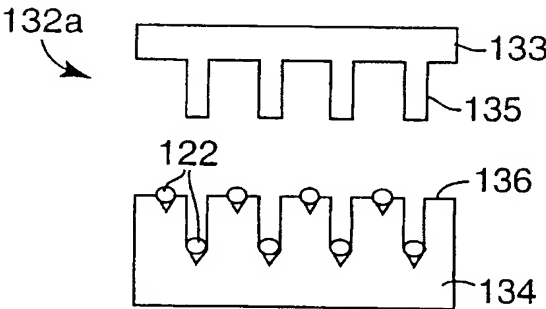


Fig. 8

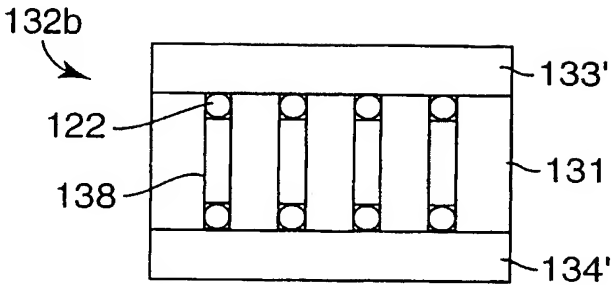


Fig. 9

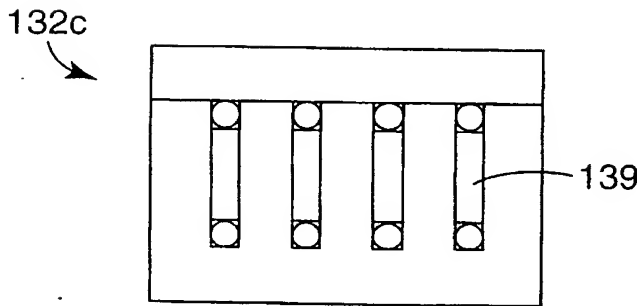


Fig. 10

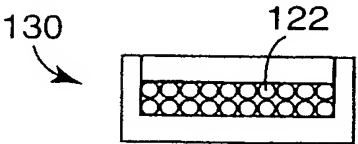
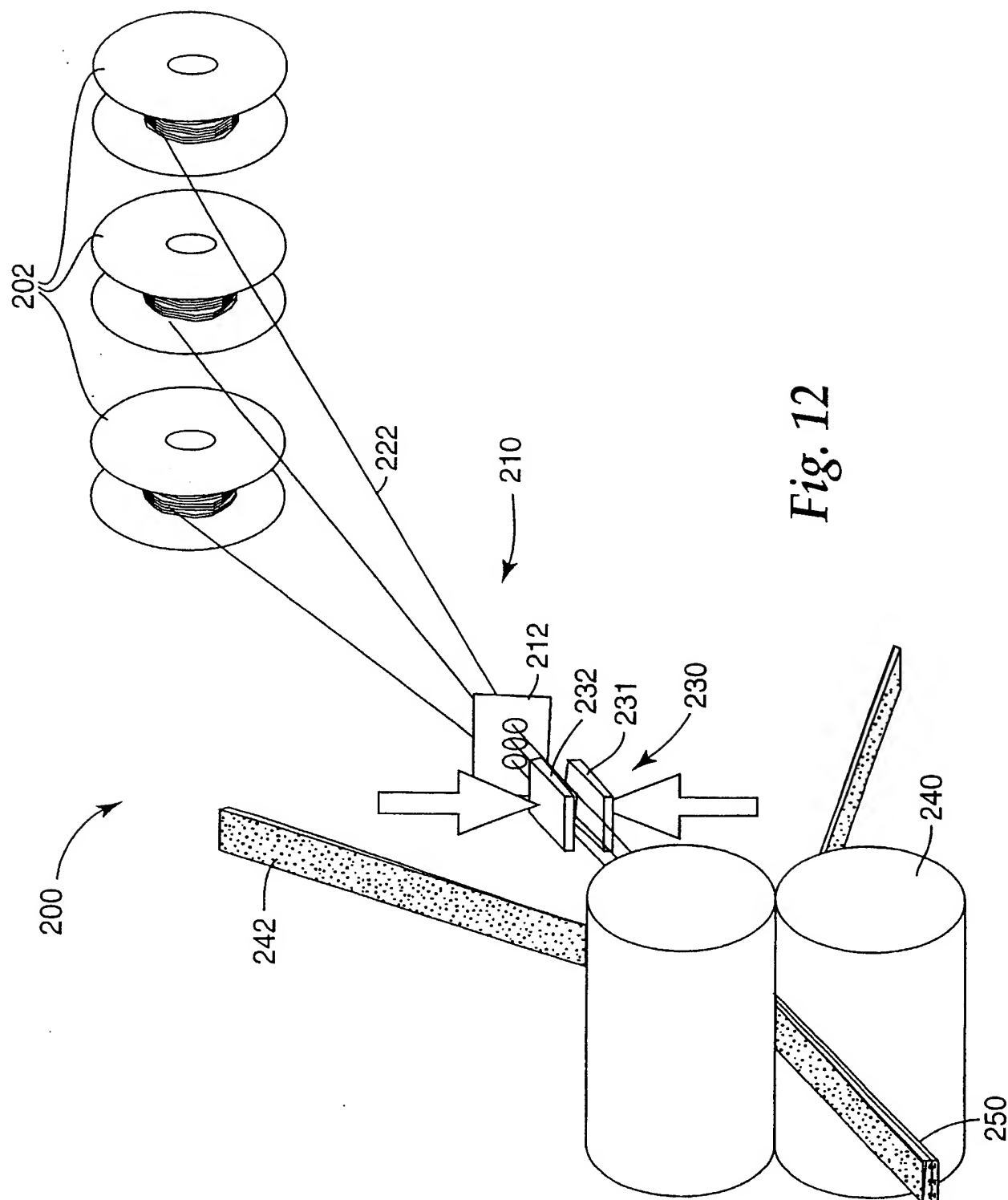


Fig. 11

7/10



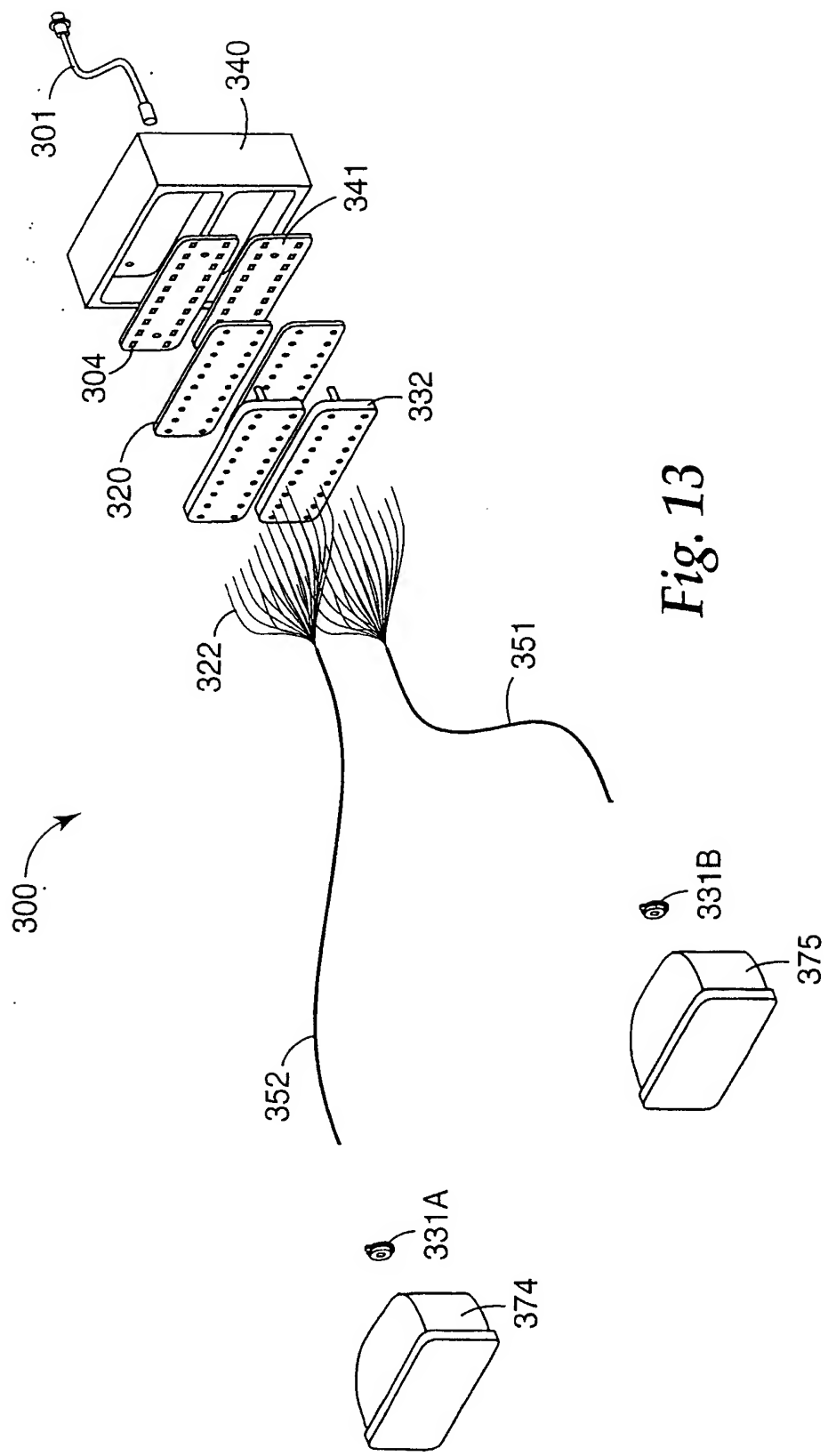


Fig. 13

9/10

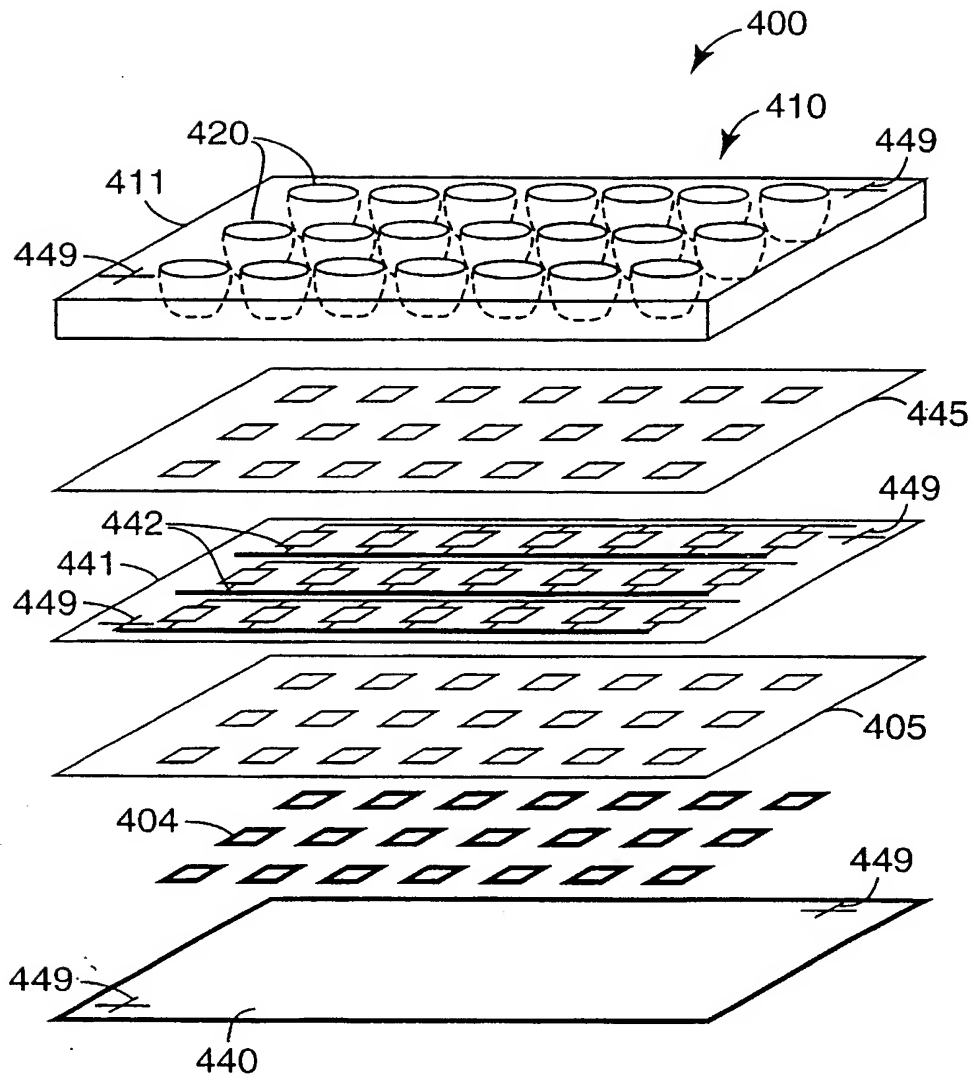
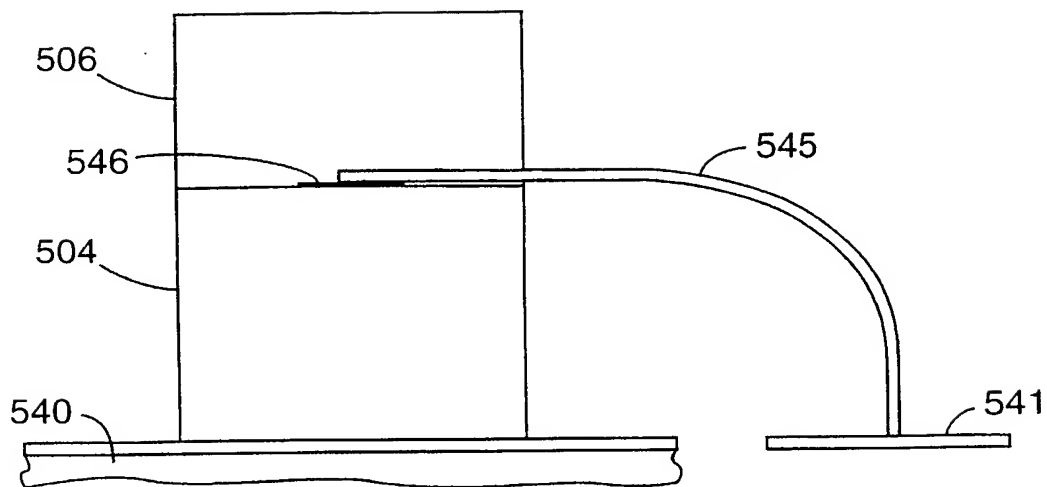
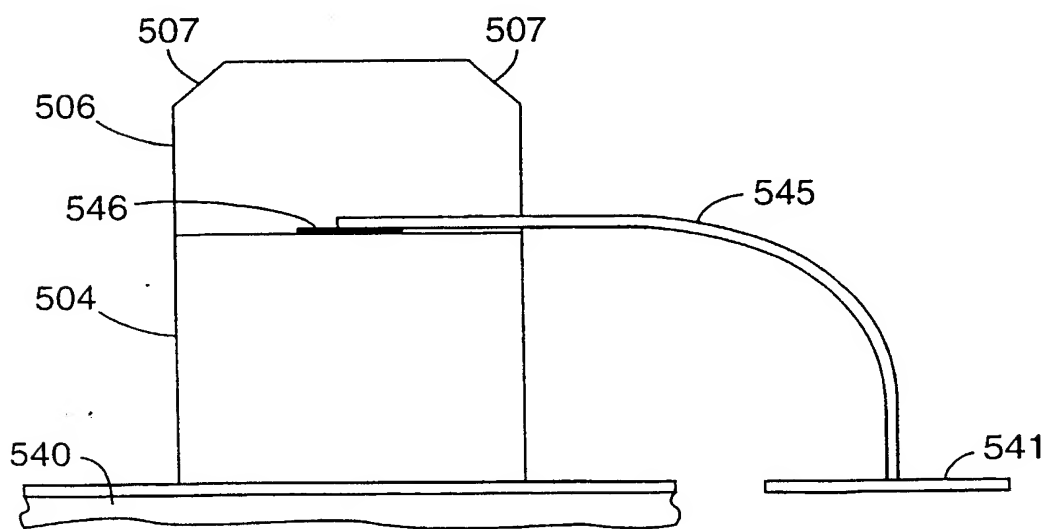


Fig. 14

10/10

*Fig. 15**Fig. 16*

(19) World Intellectual Property
Organization
International Bureau



(43) International Publication Date
17 June 2004 (17.06.2004)

PCT

(10) International Publication Number
WO 2004/051705 A3

(51) International Patent Classification⁷: **G02B 6/00**,
B60Q 1/00, F21S 8/12

(21) International Application Number:
PCT/US2003/038040

(22) International Filing Date: 2 December 2003 (02.12.2003)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
60/430,230 2 December 2002 (02.12.2002) US

(71) Applicant: **3M INNOVATIVE PROPERTIES COMPANY** [US/US]; 3M Center, Post Office Box 33427, Saint Paul, MN 55133-3427 (US).

(72) Inventors: **HENSON, Gordon, D.**; Post Office Box 33427, Saint Paul, MN 55133-3427 (US). **DEBAUN, Barbara, A.**; Post Office Box 33427, Saint Paul, MN 55133-3427 (US). **MEIS, Michael, A.**; Post Office Box 33427, Saint Paul, MN 55133-3427 (US). **SCHULTZ, John, C.**; Post Office Box 33427, Saint Paul, MN 55133-3427 (US). **SIMBAL, John, J.**; Post Office Box

33427, Saint Paul, MN 55133-3427 (US). **DAVIS, Ronald, D.**; Post Office Box 33427, Saint Paul, MN 55133-3427 (US).

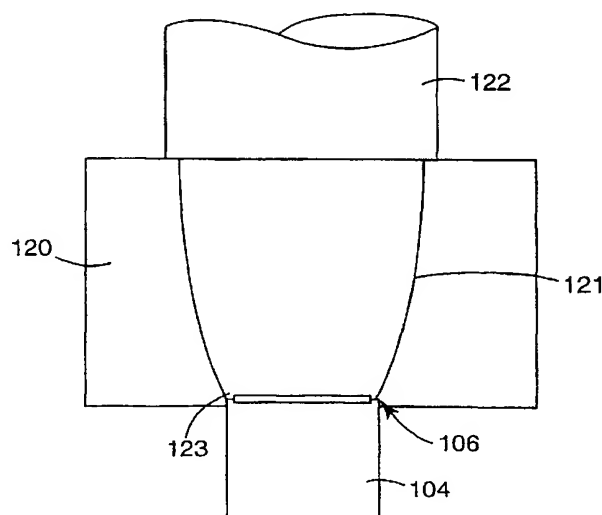
(74) Agents: **BLACK, Bruce, E.** et al.; Office of Intellectual Property Counsel, Post Office Box 33427, Saint Paul, MN 55133-3427 (US).

(81) Designated States (*national*): AE, AG, AL, AM, AT (utility model), AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ (utility model), CZ, DE (utility model), DE, DK (utility model), DK, DM, DZ, EC, EE (utility model), EE, EG, ES, FI (utility model), FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK (utility model), SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VC, VN, YU, ZA, ZM, ZW.

(84) Designated States (*regional*): ARIPO patent (BW, GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE,

[Continued on next page]

(54) Title: ILLUMINATION SYSTEM USING A PLURALITY OF LIGHT SOURCES



(57) Abstract: An illumination system (100) includes a plurality of LED dies (104). A corresponding plurality of optical waveguides (122) is also provided, with each waveguide having a first and second end, with each first end being in optical communication with the corresponding LED die. An array of corresponding passive optical elements (120) is interposed between the plurality of LED dies and the corresponding first ends of the plurality of optical waveguides. The illumination system provides for substantially high light coupling efficiency and an incoherent light output that can appear to the human observer as arising from a single point of light. In addition, the light can be output at one or more locations and in one or more directions.



ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE,
SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA,
GN, GQ, GW, ML, MR, NE, SN, TD, TG).

(88) Date of publication of the international search report:
19 August 2004

Published:

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 03/38040

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 G02B6/00 B60Q1/00 F21S8/12

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 G02B B60Q F21S

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 6 290 382 B1 (LEMAIRE CHARLES A ET AL) 18 September 2001 (2001-09-18) column 2, line 23 - column 3, line 48 column 7, line 40 - column 12, line 44; figures 3-8	1,2,5,6, 11
Y		3,8-10, 12,21,22
A		4,15-20
Y	EP 0 303 741 A (CHEN SHEN YUAN) 22 February 1989 (1989-02-22) column 3, line 33 - column 6, line 45; figures 2-9	3,8,9
	----- -/--	



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

* Special categories of cited documents :

A document defining the general state of the art which is not considered to be of particular relevance

E earlier document but published on or after the international filing date

L document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

O document referring to an oral disclosure, use, exhibition or other means

P document published prior to the international filing date but later than the priority date claimed

T later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

X document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

Y document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

* & * document member of the same patent family

Date of the actual completion of the international search

24 June 2004

Date of mailing of the international search report

02/07/2004

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax: (+31-70) 340-3016

Authorized officer

Bader-Arboreanu, A

INTERNATIONAL SEARCH REPORT

International Application No
PCT/US 03/38040

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 1 108 949 A (SDL INC) 20 June 2001 (2001-06-20) paragraph '0014! - paragraph '0060!; figures 1-5	1,4-6,14
A	-----	7,21
Y	US 6 395 564 B1 (HUANG WEN-CHIEH) 28 May 2002 (2002-05-28) column 3, line 3 - column 4, line 42; figures 1-6	10,22
Y	----- US 6 236 382 B1 (MIZUTANI JUN ICHI ET AL) 22 May 2001 (2001-05-22) column 3, line 32 - column 6, line 18; figures 4-6 -----	12,21

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 03/38040

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
US 6290382	B1	18-09-2001	NONE	
EP 0303741	A	22-02-1989	EP 0303741 A1	22-02-1989
			US 4914731 A	03-04-1990
EP 1108949	A	20-06-2001	US 6152588 A	28-11-2000
			EP 1108949 A1	20-06-2001
			CA 2298810 A1	11-02-1999
			DE 69805626 D1	04-07-2002
			DE 69805626 T2	19-09-2002
			EP 1000297 A1	17-05-2000
			WO 9906760 A1	11-02-1999
			US 6491420 B1	10-12-2002
US 6395564	B1	28-05-2002	TW 490863 B	11-06-2002
			DE 10135306 A1	22-08-2002
			GB 2372149 A ,B	14-08-2002
			JP 2002246654 A	30-08-2002
US 6236382	B1	22-05-2001	JP 10319871 A	04-12-1998
			TW 456588 Y	21-09-2001
			US 2001001241 A1	17-05-2001

